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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | | Docket No. 1370.002A | |
| In Re Application Of: Walter J. Smith | | | | | |
| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
| 10/805,084 | 03/19/2004 | Yao, Samchuan Cua | 23405 | 1733 | 7707 |
| Title: TURBINE BRUSH SEAL | | | | | |
| <p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="padding-left: 40px;"><input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; padding-left: 100px;">OR</p> <p style="padding-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> | | | | | |

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
1370.002A

In Re Application Of: **Walter J. Smith**

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|-------------------|--------------|----------------|------------------|
| 10/805,084 | 03/19/2004 | Yao, Samchuan Cua | 23405 | 1733 | 7707 |

Inventor: **TURBINE BRUSH SEAL**



COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Wayne F. Reinke

Signature

Dated: 12/30/05

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I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

12/30/2005

(Date)

Rosalind Q. Spiller

Signature of Person Mailing Correspondence

Rosalind Q. Spiller

Typed or Printed Name of Person Mailing Correspondence

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| SUPPLEMENTAL INFORMATION DISCLOSURE CITATION <small>(USE SEVERAL SHEETS IF NECESSARY)</small> | ATTY DOCKET NO. 1370.002A | SERIAL NO. 10/805,084 |
| | APPLICANT(S) WALTER J. SMITH | |
| | FILING DATE 03/19/2004 | GROUP 1733 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|----|-----------------|------------|------------------|-------|----------|-------------------------------|
| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | AA | 6,699,555 B2 | 03/02/2004 | DiChiara, Jr. | 428 | 60 | |
| | AB | 6,601,853 B2 | 08/05/2003 | Inoue | 277 | 355 | |
| | AC | 6,217,277 B1 | 04/17/2001 | Liu et al. | 415 | 9 | |
| | AD | 6,143,051 | 11/07/2000 | Kræmer et al. | 75 | 229 | |
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| | AF | 4,971,336 | 11/20/1990 | Ferguson | 277 | 53 | |
| | AG | 4,645,362 | 02/24/1987 | Orte | 384 | 485 | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | |
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| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | | |
| | | | | | | | NO | YES | ABSTRACT |
| | BA | DE 19717931 C1 | 10/22/1998 | Germany | F28F | 021/04 | | | √ |
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| OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> | | |
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|---|------------------------|
| EXAMINER | DATE CONSIDERED |
| EXAMINER: Initial here if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |